



## Measure Information

This document contains the information submitted by measure developers/stewards, but is organized according to NQF's measure evaluation criteria and process. The item numbers refer to those in the submission form but may be in a slightly different order here. In general, the item numbers also reference the related criteria (e.g., item 1b.1 relates to sub criterion 1b).

### Brief Measure Information

**NQF #:** 0206

**Corresponding Measures:**

**Measure Title:** Practice Environment Scale - Nursing Work Index (PES-NWI) (composite and five subscales)

**Measure Steward:**

**sp.02. Brief Description of Measure:** Practice Environment Scale-Nursing Work Index (PES-NWI) is a survey based measure of the nursing practice environment completed by staff registered nurses; includes mean scores on index subscales and a composite mean of all subscale scores.

**1b.01. Developer Rationale:** The dissemination of the PES-NWI nationally and internationally assures that nurses' practice environments will be measured in consistent fashion across different health systems to develop evidence guiding policy and management decisions. The benefit of using the PES-NWI measure for health care organizations is that organizations provide better quality patient care through improved work environments.

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**sp.12. Numerator Statement:** Continuous Variable Statement: For surveys completed by Registered Nurses (RN):

12a) Mean score on a composite of all subscale scores

12b) Mean score on Nurse Participation in Hospital Affairs (survey item numbers 5, 6, 11, 15, 17, 21, 23, 27, 28)

12c) Mean score on Nursing Foundations for Quality of Care (survey item numbers 4, 14, 18, 19, 22, 25, 26, 29, 30, 31)

12d) Mean score on Nurse Manager Ability, Leadership, and Support of Nurses (survey item numbers 3, 7, 10, 13, 20)

12e) Mean score on Staffing and Resource Adequacy (survey item numbers 1, 8, 9, 12)

12f) Mean score on Collegial Nurse-Physician Relations (survey item numbers 2, 16, 24)

12g) Three category variable indicating favorable, mixed, or unfavorable practice environments: favorable = four or more subscale means exceed 2.5; mixed = two or three subscale means exceed 2.5; unfavorable = zero or one subscales exceed 2.5.

**sp.14. Denominator Statement:** Staff RNs

**sp.16. Denominator Exclusions:** Not applicable

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**Measure Type:** Structure

**sp.28. Data Source:**

Instrument-Based Data

**sp.07. Level of Analysis:**

Facility

Clinician: Group/Practice

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**IF Endorsement Maintenance – Original Endorsement Date:** 2009-08-05 12:00 AM

**Most Recent Endorsement Date:** 12/14/2012 12:17:28 PM

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**IF this measure is included in a composite, NQF Composite#/title:**

**IF this measure is paired/grouped, NQF#/title:**

**sp.03. IF PAIRED/GROUPED, what is the reason this measure must be reported with other measures to appropriately interpret results?:**

## 1. Importance to Measure and Report

Extent to which the specific measure focus is evidence-based, important to making significant gains in healthcare quality, and improving health outcomes for a specific high-priority (high-impact) aspect of healthcare where there is variation in or overall less-than-optimal performance. Measures must be judged to meet all sub criteria to pass this criterion and be evaluated against the remaining criteria

**1ma.01. Indicate whether there is new evidence about the measure since the most recent maintenance evaluation. If yes, please briefly summarize the new evidence, and ensure you have updated entries in the Evidence section as needed.**

[Response Begins]

[Response Ends]

Please separate added or updated information from the most recent measure evaluation within each question response in the Importance to Measure and Report: Evidence section. For example:

**2021 Submission:**

Updated evidence information here.

**2018 Submission:**

Evidence from the previous submission here.

**1a.01. Provide a logic model.**

*Briefly describe the steps between the healthcare structures and processes (e.g., interventions, or services) and the patient's health outcome(s). The relationships in the diagram should be easily understood by general, non-technical audiences. Indicate the structure, process or outcome being measured.*

[Response Begins]

[Response Ends]

**1a.02. Select the type of source for the systematic review of the body of evidence that supports the performance measure.**

*A systematic review is a scientific investigation that focuses on a specific question and uses explicit, prespecified scientific methods to identify, select, assess, and summarize the findings of similar but separate studies. It may include a quantitative synthesis (meta-analysis), depending on the available data.*

[Response Begins]

[Response Ends]

If the evidence is not based on a systematic review, skip to the end of the section and do not complete the repeatable question group below. If you wish to include more than one systematic review, add additional tables by clicking "Add" after the final question in the group.

**Evidence - Systematic Reviews Table (Repeatable)**

Group 1 - Evidence - Systematic Reviews Table

**1a.03. Provide the title, author, date, citation (including page number) and URL for the systematic review.**

[Response Begins]

[Response Ends]

**1a.04. Quote the guideline or recommendation verbatim about the process, structure or intermediate outcome being measured. If not a guideline, summarize the conclusions from the systematic review.**

[Response Begins]

[Response Ends]

**1a.05. Provide the grade assigned to the evidence associated with the recommendation, and include the definition of the grade.**

[Response Begins]

[Response Ends]

**1a.06. Provide all other grades and definitions from the evidence grading system.**

[Response Begins]

[Response Ends]

**1a.07. Provide the grade assigned to the recommendation, with definition of the grade.**

[Response Begins]

[Response Ends]

**1a.08. Provide all other grades and definitions from the recommendation grading system.**

[Response Begins]

[Response Ends]

**1a.09. Detail the quantity (how many studies) and quality (the type of studies) of the evidence.**

[Response Begins]

[Response Ends]

**1a.10. Provide the estimates of benefit, and consistency across studies.**

[Response Begins]

[Response Ends]

**1a.11. Indicate what, if any, harms were identified in the study.**

[Response Begins]

[Response Ends]

**1a.12. Identify any new studies conducted since the systematic review, and indicate whether the new studies change the conclusions from the systematic review.**

[Response Begins]

[Response Ends]

**1a.13. If source of evidence is NOT from a clinical practice guideline, USPSTF, or systematic review, describe the evidence on which you are basing the performance measure.**

[Response Begins]

[Response Ends]

**1a.14. Briefly synthesize the evidence that supports the measure.**

[Response Begins]

[Response Ends]

**1a.15. Detail the process used to identify the evidence.**

[Response Begins]

[Response Ends]

**1a.16. Provide the citation(s) for the evidence.**

[Response Begins]

[Response Ends]

**1b.01. Briefly explain the rationale for this measure.**

*Explain how the measure will improve the quality of care, and list the benefits or improvements in quality envisioned by use of this measure.*

[Response Begins]

The dissemination of the PES-NWI nationally and internationally assures that nurses' practice environments will be measured in consistent fashion across different health systems to develop evidence guiding policy and management decisions. The benefit of using the PES-NWI measure for health care organizations is that organizations provide better quality patient care through improved work environments.

[Response Ends]

**1b.02. Provide performance scores on the measure as specified (current and over time) at the specified level of analysis.**

*Include mean, std dev, min, max, interquartile range, and scores by decile. Describe the data source including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities include. This information also will be used to address the sub-criterion on improvement (4b) under Usability and Use.*

[Response Begins]

As noted by Warshawsky and Havens, 2011 "Using the findings and recommendations made in this review, nurse researchers can use the PES-NWI to assess nursing practice environments and to provide meaningful comparison

data”.

The potential range in scores is 1.00 to 4.00. This full range has been exhibited in a recent (2006) sample of 794 hospitals in 4 states. Given an average SD of .20, the score ranges across 22 studies in the table below indicate large performance gaps.

Score Ranges (Studies Reporting Scores on a 4-Point Likert Scale, n = 22)

Measure Score Range

Subscale

Collegial Nurse-Physician Relations 2.32-3.26

Nursing Foundations for Quality Care 2.20-3.35

Nurse Manager Ability, Leadership, and Support 2.08-3.42

Nurse Participation in Hospital Affairs 1.98-2.98

Staffing and Resource Adequacy 1.87-2.90

Composite 2.48-3.17

Warshawsky and Havens, 2011, Table 3

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The Joint Commission pilot hospital PES-NWI measure rates:

Median Min Max

12a) Mean score on a composite of all subscale scores 2.85 2.57 3.14

12b) Mean score on Nurse Participation in Hospital Affairs 2.74 2.33 3.09

12c) Mean score on Nursing Foundations for Quality of Care 2.96 2.67 3.28

12d) Mean score on Nurse Manager Ability, Leadership, and Support of Nurses 2.9 2.42 3.19

12e) Mean score on Staffing and Resource Adequacy 2.66 2.3 3.05

12f) Mean score on Collegial Nurse-Physician Relations 2.97 2.69 3.3

47 hospitals reported practice environment survey data, collected from August 2007 - July 2008.

In a study by the measure developer, Lake, from 794 hospitals in 4 states, the sample hospitals exhibited the full range of possible scores: 1.00 to 4.00. The average hospital-level subscale scores ranged from 2.50 to 2.84, with SDs ranging from .29 to .37.

The descriptive statistics calculated from all community hospitals in four states demonstrate lower average scores than the Joint Commission pilot hospitals as well as much greater variation across hospitals, suggesting that Joint Commission accredited hospitals have better nursing environments than all hospitals (in these 4 states and perhaps throughout the U.S.) and indicating the capacity of the PES-NWI measure to provide evidence of significant and meaningful differences in practice environment performance across providers.

[Response Ends]

**1b.03. If no or limited performance data on the measure as specified is reported above, then provide a summary of data from the literature that indicates opportunity for improvement or overall less than optimal performance on the specific focus of measurement. Include citations.**

[Response Begins]

Warshawsky, N. E., & Havens, D. S. (2011). Global use of the practice environment scale of the nursing work index. *Nursing Research*, 60(1), 17. Retrieved from <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3021172/>

[Response Ends]

**1b.04. Provide disparities data from the measure as specified (current and over time) by population group, e.g., by race/ethnicity, gender, age, insurance status, socioeconomic status, and/or disability.**

*Describe the data source including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included. Include mean, std dev, min, max, interquartile range, and scores by decile. For measures that show high levels of performance, i.e., "topped out", disparities data may demonstrate an opportunity for improvement/gap in care for certain sub-populations. This information also will be used to address the sub-criterion on improvement (4b) under Usability and Use.*

**[Response Begins]**

Disparities not applicable to this measure.

**[Response Ends]**

**1b.05. If no or limited data on disparities from the measure as specified is reported above, then provide a summary of data from the literature that addresses disparities in care on the specific focus of measurement. Include citations. Not necessary if performance data provided in above.**

**[Response Begins]**

**[Response Ends]**

## 2. Scientific Acceptability of Measure Properties

Extent to which the measure, as specified, produces consistent (reliable) and credible (valid) results about the quality of care when implemented. Measures must be judged to meet the sub criteria for both reliability and validity to pass this criterion and be evaluated against the remaining criteria.

**spma.01. Indicate whether there are changes to the specifications since the last updates/submission. If yes, update the specifications in the Measure Specifications section of the Measure Submission Form, and explain your reasoning for the changes below.**

[Response Begins]

[Response Ends]

**spma.02. Briefly describe any important changes to the measure specifications since the last measure update and provide a rationale.**

**For annual updates, please explain how the change in specifications affects the measure results. If a material change in specification is identified, data from re-testing of the measure with the new specifications is required for early maintenance review.**

*For example, specifications may have been updated based on suggestions from a previous NQF CDP review.*

[Response Begins]

[Response Ends]

**sp.01. Provide the measure title.**

*Measure titles should be concise yet convey who and what is being measured (see [What Good Looks Like](#)).*

[Response Begins]

Practice Environment Scale - Nursing Work Index (PES-NWI) (composite and five subscales)

[Response Ends]

**sp.02. Provide a brief description of the measure.**

*Including type of score, measure focus, target population, timeframe, (e.g., Percentage of adult patients aged 18-75 years receiving one or more HbA1c tests per year).*

[Response Begins]

Practice Environment Scale-Nursing Work Index (PES-NWI) is a survey based measure of the nursing practice environment completed by staff registered nurses; includes mean scores on index subscales and a composite mean of all subscale scores.

[Response Ends]

**sp.04. Check all the clinical condition/topic areas that apply to your measure, below.**

*Please refrain from selecting the following answer option(s). We are in the process of phasing out these answer options and request that you instead select one of the other answer options as they apply to your measure.*

*Please do not select:*



- *Surgery: General*

**[Response Begins]**

**[Response Ends]**

**sp.05. Check all the non-condition specific measure domain areas that apply to your measure, below.**

**[Response Begins]**

Primary Prevention

**[Response Ends]**

**sp.06. Select one or more target population categories.**

*Select only those target populations which can be stratified in the reporting of the measure's result.*

*Please refrain from selecting the following answer option(s). We are in the process of phasing out these answer options and request that you instead select one of the other answer options as they apply to your measure.*

*Please do not select:*

- *Populations at Risk: Populations at Risk*

**[Response Begins]**

**[Response Ends]**

**sp.07. Select the levels of analysis that apply to your measure.**

*Check ONLY the levels of analysis for which the measure is SPECIFIED and TESTED.*

*Please refrain from selecting the following answer option(s). We are in the process of phasing out these answer options and request that you instead select one of the other answer options as they apply to your measure.*

*Please do not select:*

- *Clinician: Clinician*
- *Population: Population*

**[Response Begins]**

Clinician: Group/Practice

Facility

**[Response Ends]**

**sp.08. Indicate the care settings that apply to your measure.**

*Check ONLY the settings for which the measure is SPECIFIED and TESTED.*

**[Response Begins]**

Inpatient/Hospital

**[Response Ends]**

**sp.09. Provide a URL link to a web page specific for this measure that contains current detailed specifications including code lists, risk model details, and supplemental materials.**

*Do not enter a URL linking to a home page or to general information. If no URL is available, indicate "none available".*

**[Response Begins]**

**[Response Ends]**

**sp.11. Attach the data dictionary, code table, or value sets (and risk model codes and coefficients when applicable). Excel formats (.xlsx or .csv) are preferred.**

*Attach an excel or csv file; if this poses an issue, [contact staff](#). Provide descriptors for any codes. Use one file with multiple worksheets, if needed.*

**[Response Begins]**

No data dictionary/code table – all information provided in the submission form

**[Response Ends]**

**sp.12. State the numerator.**

*Brief, narrative description of the measure focus or what is being measured about the target population, i.e., cases from the target population with the target process, condition, event, or outcome).*

*DO NOT include the rationale for the measure.*

**[Response Begins]**

Continuous Variable Statement: For surveys completed by Registered Nurses (RN):

12a) Mean score on a composite of all subscale scores

12b) Mean score on Nurse Participation in Hospital Affairs (survey item numbers 5, 6, 11, 15, 17, 21, 23, 27, 28)

12c) Mean score on Nursing Foundations for Quality of Care (survey item numbers 4, 14, 18, 19, 22, 25, 26, 29, 30, 31)

12d) Mean score on Nurse Manager Ability, Leadership, and Support of Nurses (survey item numbers 3, 7, 10, 13, 20)

12e) Mean score on Staffing and Resource Adequacy (survey item numbers 1, 8, 9, 12)

12f) Mean score on Collegial Nurse-Physician Relations (survey item numbers 2, 16, 24)

12g) Three category variable indicating favorable, mixed, or unfavorable practice environments: favorable = four or more subscale means exceed 2.5; mixed = two or three subscale means exceed 2.5; unfavorable = zero or one subscales exceed 2.5.

**[Response Ends]**

**sp.13. Provide details needed to calculate the numerator.**

*All information required to identify and calculate the cases from the target population with the target process, condition, event, or outcome such as definitions, time period for data collection, specific data collection items/responses, code/value sets.*

*Note: lists of individual codes with descriptors that exceed 1 page should be provided in an Excel or csv file in required format at sp.11.*

**[Response Begins]**

Included Populations:

- Registered Nurses with direct patient care responsibilities for 50% or greater of their shift
- All hospital units
- Full time, part time, and flex / pool RNs employed by the hospital

Excluded Populations

- New hires of less than 3 months
- Agency, traveler or contract nurses
- Nurses in management or supervisory roles with direct patient care responsibilities less than 50% of their shift, whose primary responsibility is administrative in nature

Data Elements by Subscale (with survey question/item number)

Nurse Participation in Hospital Affairs

- PES-NWI Career Development (5)
- PES-NWI Participation in Policy Decisions (6)
- PES-NWI Chief Nursing Officer Visibility (11)
- PES-NWI Chief Nursing Officer Authority (15)
- PES-NWI Advancement Opportunities (17)
- PES-NWI Administration Listens and Responds (21)
- PES-NWI Staff Nurses Hospital Governance (23)
- PES-NWI Nursing Committees (27)
- PES-NWI Nursing Administrators Consult (28)

Nursing Foundations for Quality of Care

- PES-NWI Continuing Education (4)
- PES-NWI High Nursing Care Standards (14)
- PES-NWI Philosophy of Nursing (18)
- PES-NWI Nurses Are Competent (19)
- PES-NWI Quality Assurance Program (22)
- PES-NWI Preceptor Program (25)
- PES-NWI Nursing Care Model (26)
- PES-NWI Patient Care Plans (29)
- PES-NWI Continuity of Patient Assignments (30)
- PES-NWI Nursing Diagnosis (31)

Nurse Manager Ability, Leadership, and Support of Nurses

- PES-NWI Supportive Supervisory Staff (3)
- PES-NWI Supervisors Learning Experiences (7)
- PES-NWI Nurse Manager and Leader (10)
- PES-NWI Recognition (13)
- PES-NWI Nurse Manager Backs up Staff (20)

Staffing and Resource Adequacy

- PES-NWI Adequate Support Services (1)
- PES-NWI Time to Discuss Patient Problems (8)
- PES-NWI Enough Nurses for Quality Care (9)
- PES-NWI Enough Staffing (12)

Collegial Nurse-Physician Relations

PES-NWI Nurse and Physician Relationships (2)  
PES-NWI Nurse and Physician Teamwork (16)  
PES-NWI Collaboration (24)

Composite Score  
Mean of subscale scores

Three Category Variable  
Favorable = four or more subscale means exceed 2.5  
Mixed = two or three subscale means exceed 2.5  
Unfavorable = zero or one subscales exceed 2.5

**[Response Ends]**

**sp.14. State the denominator.**

*Brief, narrative description of the target population being measured.*

**[Response Begins]**

Staff RNs

**[Response Ends]**

**sp.15. Provide details needed to calculate the denominator.**

*All information required to identify and calculate the target population/denominator such as definitions, time period for data collection, specific data collection items/responses, code/value sets.*

*Note: lists of individual codes with descriptors that exceed 1 page should be provided in an Excel or csv file in required format at sp.11.*

**[Response Begins]**

Not applicable

**[Response Ends]**

**sp.16. Describe the denominator exclusions.**

*Brief narrative description of exclusions from the target population.*

**[Response Begins]**

Not applicable

**[Response Ends]**

**sp.17. Provide details needed to calculate the denominator exclusions.**

*All information required to identify and calculate exclusions from the denominator such as definitions, time period for data collection, specific data collection items/responses, code/value sets – Note: lists of individual codes with descriptors that exceed 1 page should be provided in an Excel or csv file in required format at sp.11.*

**[Response Begins]**

Not applicable

**[Response Ends]**

**sp.18. Provide all information required to stratify the measure results, if necessary.**

*Include the stratification variables, definitions, specific data collection items/responses, code/value sets, and the risk-model covariates and coefficients for the clinically-adjusted version of the measure when appropriate. Note: lists of individual codes with descriptors that exceed 1 page should be provided in an Excel or csv file in required format in the Data Dictionary field.*

**[Response Begins]**

12a) Mean score on a composite of all subscale scores

12b) Mean score on Nurse Participation in Hospital Affairs (survey item numbers 5, 6, 11, 15, 17, 21, 23, 27, 28)

12c) Mean score on Nursing Foundations for Quality of Care (survey item numbers 4, 14, 18, 19, 22, 25, 26, 29, 30, 31)

12d) Mean score on Nurse Manager Ability, Leadership, and Support of Nurses (survey item numbers 3, 7, 10, 13, 20)

12e) Mean score on Staffing and Resource Adequacy (survey item numbers 1, 8, 9, 12)

12f) Mean score on Collegial Nurse-Physician Relations (survey item numbers 2, 16, 24)

12g) Three category variable indicating favorable, mixed, or unfavorable practice environments: favorable = four or more subscale means exceed 2.5; mixed = two or three subscale means exceed 2.5; unfavorable = zero or one subscales exceed 2.5.

**[Response Ends]**

**sp.19. Select the risk adjustment type.**

*Select type. Provide specifications for risk stratification and/or risk models in the Scientific Acceptability section.*

**[Response Begins]**

No risk adjustment or risk stratification

**[Response Ends]**

**sp.20. Select the most relevant type of score.**

*Attachment: If available, please provide a sample report.*

**[Response Begins]**

**[Response Ends]**

**sp.21. Select the appropriate interpretation of the measure score.**

*Classifies interpretation of score according to whether better quality or resource use is associated with a higher score, a lower score, a score falling within a defined interval, or a passing score*

**[Response Begins]**

Better quality = Higher score

**[Response Ends]**

**sp.22. Diagram or describe the calculation of the measure score as an ordered sequence of steps.**

*Identify the target population; exclusions; cases meeting the target process, condition, event, or outcome; time period of data, aggregating data; risk adjustment; etc.*

**[Response Begins]**

1. Start processing.
2. Check Survey Date
  - a. If the Survey Date is missing or invalid the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.
  - b. If Survey Date is valid, continue and proceed to initialization.
3. Initialization. Initialize NurseParticipationScore to 0; NursingFoundationScore to 0; NurseMgrAbilityScore to 0; StaffingScore to 0; RelationsScore to 0; TotalScore to 0; ExceedCounter to 0. Continue and proceed to PES-NWI Career Development.
4. Check PES-NWI Career Development
  - a. If the PES-NWI Career Development is missing or zero, the case will proceed to PES-NWI Participation in Policy Decisions.
  - b. If the PES-NWI Career Development equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Career Development to the NurseParticipationScore and proceed to PES-NWI Participation in Policy Decisions.
5. Check PES-NWI Participation in Policy Decisions
  - a. If the PES-NWI-Participation in Policy Decisions is missing or zero, the case will proceed to PES-NWI Chief Nursing Officer Visibility.
  - b. If the PES-NWI Participation in Policy Decisions equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Participation in Policy Decisions to the NurseParticipationScore and proceed to PES-NWI Chief Nursing Officer Visibility.
6. Check PES-NWI Chief Nursing Officer Visibility
  - a. If the PES-NWI- Chief Nursing Officer Visibility is missing or zero, the case will proceed to PES-NWI Chief Nursing Officer Authority.
  - b. If the PES-NWI Chief Nursing Officer Visibility equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Chief Nursing Officer Visibility to the NurseParticipationScore and proceed to PES-NWI Chief Nursing Officer Authority.
7. Check PES-NWI Chief Nursing Officer Authority
  - a. If the PES-NWI- Chief Nursing Officer Authority is missing or zero, the case will proceed to PES-NWI Advancement Opportunities.
  - b. If the PES-NWI Chief Nursing Officer Authority equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Chief Nursing Officer Authority to the NurseParticipationScore and proceed to PES-NWI Advancement Opportunities.
8. Check PES-NWI Advancement Opportunities
  - a. If the PES-NWI- Advancement Opportunities is missing or zero, the case will proceed to PES-NWI Administration Listens and Responds.
  - b. If the PES-NWI Advancement Opportunities equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Advancement Opportunities to the NurseParticipationScore and proceed to PES-NWI Administration Listens and Responds.
9. Check PES-NWI Administration Listens and Responds
  - a. If the PES-NWI Administration Listens and Responds is missing or zero, the case will proceed to PES-NWI Staff Nurses Hospital Governance.

b. If the PES-NWI Administration Listens and Responds equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Administration Listens and Responds to the NurseParticipationScore and proceed to PES-NWI Staff Nurses Hospital Governance.

10. Check PES-NWI Staff Nurses Hospital Governance

a. If the PES-NWI- Staff Nurses Hospital Governance is missing or zero, the case will proceed to PES-NWI Nursing Committees.

b. If the PES-NWI Staff Nurses Hospital Governance equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Staff Nurses Hospital Governance to the NurseParticipationScore and proceed to PES-NWI Nursing Committees.

11. Check PES-NWI Nursing Committees

a. If the PES-NWI Nursing Committees is missing or zero, the case will proceed to PES-NWI Nursing Administrators Consult.

b. If the PES-NWI Nursing Committees equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nursing Committees to the NurseParticipationScore and proceed to PES-NWI Nursing Administrators Consult.

12. Check PES-NWI Nursing Administrators Consult

a. If the PES-NWI Nursing Administrators Consult is missing or zero, the case will proceed to calculate mean score on Nurse-Participation in Hospital Affairs.

b. If the PES-NWI Nursing Administrators Consult equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nursing Administrators Consult to the NurseParticipationScore and proceed to calculate mean score on Nurse-Participation in Hospital Affairs.

13. Calculate Mean Score on Nurse-Participation in Hospital Affairs. Mean Score of Nurse-Participation in Hospital Affairs equals mean of NurseParticipationScore. Assign the calculated mean score to NSC-12b. Continue and proceed to PES-NWI Continuing Education.

14. Check PES-NWI Continuing Education

a. If the PES-NWI Continuing Education is missing or zero, the case will proceed to PES-NWI High Nursing Care Standards.

b. If the PES-NWI Continuing Education equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Continuing Education to the NurseFoundationScore and proceed to PES-NWI High Nursing Care Standards.

15. Check PES-NWI High Nursing Care Standards

a. If the PES-NWI High Nursing Care Standards is missing or zero, the case will proceed to PES-NWI Philosophy of Nursing.

b. If the PES-NWI High Nursing Care Standards equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI High Nursing Care Standards to the NurseFoundationScore and proceed to PES-NWI Philosophy of Nursing.

16. Check PES-NWI Philosophy of Nursing

a. If the PES-NWI Philosophy of Nursing is missing or zero, the case will proceed to PES-NWI Nurses Are Competent.

b. If the PES-NWI Philosophy of Nursing equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Philosophy of Nursing to the NurseFoundationScore and proceed to PES-NWI Nurses Are Competent.

17. Check PES-NWI Nurses Are Competent

a. If the PES-NWI Nurses Are Competent is missing or zero, the case will proceed to PES-NWI Quality Assurance Program.

b. If the PES-NWI Nurses Are Competent equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nurses Are Competent to the NurseFoundationScore and proceed to PES-NWI Quality Assurance Program.

18. Check PES-NWI Quality Assurance Program

a. If the PES-NWI Quality Assurance Program is missing or zero, the case will proceed to PES-NWI Preceptor Program.

b. If the PES-NWI Quality Assurance Program equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Quality Assurance Program to the NurseFoundationScore and proceed to PES-NWI Preceptor Program.

19. Check PES-NWI Preceptor Program

a. If the PES-NWI Preceptor Program is missing or zero, the case will proceed to PES-NWI Nursing Care Model.

b. If the PES-NWI Preceptor Program equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Preceptor Program to the NurseFoundationScore and proceed to PES-NWI Nursing Care Model.

20. Check PES-NWI Nursing Care Model

a. If the PES-NWI Nursing Care Model is missing or zero, the case will proceed to PES-NWI Patient Care Plans.

b. If the PES-NWI Nursing Care Model equals 1, 2, 3, or 4, add the allowable value scored for Nursing Care Model to the NurseFoundationScore and proceed to PES-NWI Patient Care Plans.

21. Check PES-NWI Patient Care Plans

a. If the PES-NWI Patient Care Plans is missing or zero, the case will proceed to PES-NWI Continuity of Patient Assignments.

b. If the PES-NWI Patient Care Plans equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Patient Care Plans to the NurseFoundationScore and proceed to PES-NWI Continuity of Patient Assignments

22. Check PES-NWI Continuity of Patient Assignments

a. If the PES-NWI Continuity of Patient Assignments is missing or zero, the case will proceed to PES-NWI Nursing Diagnosis.

b. If the PES-NWI Continuity of Patient Assignments equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Continuity of Patient Assignments to the NurseFoundationScore and proceed to PES-NWI Nursing Diagnosis.

23. Check PES-NWI Nursing Diagnosis

a. If the PES-NWI Nursing Diagnosis is missing or zero, the case will proceed to calculate mean score on Nursing Foundations for Quality of Care.

b. If the PES-NWI Nursing Diagnosis equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nursing Diagnosis to theNurseFoundationScore and proceed to calculate mean score on Nursing Foundations for Quality of Care.

24. Calculate Mean Score on Nursing Foundations for Quality of Care. Mean Score of Nursing Foundations for Quality of Care equals mean of NurseFoundationScore. Assign the calculated mean score to NSC-12c. Continue and proceed to PES-NWI Supportive Supervisory Staff.

25. Check PES-NWI Supportive Supervisory Staff

a. If the PES-NWI Supportive Supervisory Staff is missing or zero, the case will proceed to PES-NWI Supervisors Learning Experience.

b. If the PES-NWI Supportive Supervisory Staff equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Supportive Supervisory Staff to the NurseMgrAbilityScore and proceed to PES-NWI Supervisors Learning Experience.

26. Check PES-NWI Supervisors Learning Experience

a. If the PES-NWI Supervisors Learning Experience is missing or zero, the case will proceed to PES-NWI Nurse Manager and Leader.

b. If the PES-NWI Supervisors Learning Experience equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Supervisors Learning Experience to the NurseMgrAbilityScore and proceed to PES-NWI Nurse Manager and Leader.

27. Check PES-NWI Nurse Manager and Leader

a. If the PES-NWI Nurse Manager and Leader is missing or zero, the case will proceed to PES-NWI Recognition.



b. If the PES-NWI Nurse Manager and Leader equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nurse Manager and Leader to the NurseMgrAbilityScore and proceed to PES-NWI Recognition.

28. Check PES-NWI Recognition

a. If the PES-NWI Recognition is missing or zero, the case will proceed to PES-NWI Nurse Manager Backs up Staff

b. If the PES-NWI Recognition equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Recognition to the NurseMgrAbilityScore and proceed to PES-NWI Nurse Manager Backs up Staff.

29. Check PES-NWI Nurse Manager Backs up Staff

a. If the PES-NWI Nurse Manager Backs up Staff is missing or zero, the case will proceed to calculate mean score on Nurse Manager Ability, Leadership, and Support of Nurses.

b. If the PES-NWI Nurse Manager Backs up Staff equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nurse Manager Backs up Staff to the NurseMgrAbilityScore and proceed to calculate mean score on Nurse Manager Ability, Leadership, and Support of Nurses.

Calculate Mean Score on Nurse Manager Ability, Leadership, and Support of Nurses. Mean Score of Nurse Manager Ability, Leadership, and Support of Nurses equals mean of NurseMgrAbilityScore. Assign the calculated mean score to NSC-12d. Continue and proceed to PES-NWI Adequate Support Services.

30. Check PES-NWI Adequate Support Services

a. If the PES-NWI Adequate Support Services is missing or zero, the case will proceed to PES-NWI Time to Discuss Patient Problems.

b. If the PES-NWI Adequate Support Services equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Adequate Support Services to the StaffingScore and proceed to PES-NWI Time to Discuss Patient Problems.

31. Check PES-NWI Time to Discuss Patient Problems

a. If the PES-NWI Time to Discuss Patient Problems is missing or zero, the case will proceed to PES-NWI Enough Nurses for Quality Care.

b. If the PES-NWI Time to Discuss Patient Problems equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Time to Discuss Patient Problems to the StaffingScore and proceed to PES-NWI Enough Nurses for Quality Care.

32. Check PES-NWI Enough Nurses for Quality Care

a. If the PES-NWI Enough Nurses for Quality Care is missing or zero, the case will proceed to PES-NWI Enough Staffing.

b. If the PES-NWI Enough Nurses for Quality Care equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Enough Nurses for Quality Care to the StaffingScore and proceed to PES-NWI Enough Staffing.

33. Check PES-NWI Enough Staffing

a. If the PES-NWI Enough Staffing is missing or zero, the case will proceed to calculate mean score on Staffing and Resource Adequacy.

b. If the PES-NWI Enough Staffing equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Enough Staffing to the StaffingScore and proceed to calculate mean score on Staffing and Resource Adequacy.

34. Calculate Mean Score on Staffing and Resource Adequacy. Mean Score of Staffing and Resource Adequacy equals mean of StaffingScore. Assign the calculated mean score to NSC-12e. Continue and proceed to PES-NWI Nurse and Physician Relationships.

35. Check PES-NWI Nurse and Physician Relationships

a. If the PES-NWI Nurse and Physician Relationships is missing or zero, the case will proceed to PES-NWI Nurse and Physician Teamwork.

b. If the PES-NWI Nurse and Physician Relationships equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nurse and Physician Relationships to the RelationsScore and proceed to PES-NWI Nurse and Physician Teamwork.

36. Check PES-NWI Nurse and Physician Teamwork

- a. If the PES-NWI Nurse and Physician Teamwork is missing or zero, the case will proceed to PES-NWI Collaboration.
- b. If the PES-NWI Nurse and Physician Teamwork equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Nurse and Physician Teamwork to the RelationsScore and proceed to PES-NWI Collaboration.

37. Check PES-NWI Collaboration

- a. If the PES-NWI Collaboration is missing or zero, the case will proceed to calculate mean score on Collegial Nurse-Physician Relations.
- b. If the PES-NWI Collaboration equals 1, 2, 3, or 4, add the allowable value scored for PES-NWI Collaboration to the RelationsScore and proceed to calculate mean score on Collegial Nurse-Physician Relations.

38. Calculate Mean Score on Collegial Nurse-Physician Relations. Mean Score of Collegial Nurse-Physician Relations equals mean of RelationsScore. Assign the calculated mean score to NSC-12f. Continue and proceed to calculate the Total Score on composite of all subscale scores.

39. Calculate Total Score on a composite of all subscale scores. Total Score of a composite of all subscale scores equals the sum of NurseParticipationScore, NursingFoundationScore, NurseMgrAbilityScore, StaffingScore, and RelationsScore. Continue and proceed to calculate Mean Score on a composite of all subscale scores.

40. Calculate Mean Score on a composite of all subscale scores. Mean Score of a composite of all subscale scores equals the mean of Total Score on a composite of all subscale scores. Assign the calculated mean score to NSC-12a. Continue and proceed to Mean Score on NurseParticipationScore.

41. Check Mean Score on NurseParticipationScore

- a. If the score of Mean Score on NurseParticipationScore is less than or equal to 2.5, the case will proceed to Mean Score on NursingFoundationScore.
- b. If the score of Mean Score on NurseParticipationScore is greater than 2.5, add 1 to ExceedCounter and proceed to Mean Score on NursingFoundationScore.

42. Check Mean Score on NursingFoundationScore

- a. If the score of Mean Score on NursingFoundationScore is less than or equal to 2.5, the case will proceed to Mean Score on NurseMgrAbilityScore.
- b. If the score of Mean Score on NursingFoundationScore is greater than 2.5, add 1 to ExceedCounter and proceed to Mean Score on NurseMgrAbilityScore.

43. Check Mean Score on NurseMgrAbilityScore

- a. If the score of Mean Score on NurseMgrAbilityScore is less than or equal to 2.5, the case will proceed to Mean Score on StaffingScore.
- b. If the score of Mean Score on NurseMgrAbilityScore is greater than 2.5, add 1 to ExceedCounter and proceed to Mean Score on StaffingScore.

44. Check Mean Score on StaffingScore

- a. If the score of Mean Score on StaffingScore is less than or equal to 2.5, the case will proceed to Mean Score on RelationsScore.
- b. If the score of Mean Score on StaffingScore is greater than 2.5, add 1 to ExceedCounter and proceed to Mean Score on RelationsScore.

45. Check Mean Score on RelationsScore

- a. If the score of Mean Score on RelationsScore is less than or equal to 2.5, the case will proceed to ExceedCounter.
- b. If the score of Mean Score on RelationsScore is greater than 2.5, add 1 to ExceedCounter and proceed to ExceedCounter.

46. Check ExceedCounter

- a. If ExceedCounter is greater than or equal to 4, the case will proceed to a Measure Category Assignment of "Favorable". Stop processing.
- b. If ExceedCounter is greater than or equal to 2 and less than 4, the case will proceed to a Measure Category Assignment of "Mixed". Stop processing.
- c. If ExceedCounter is greater than or equal to 0 and less than 2, the case will proceed to a Measure Category Assignment of "Unfavorable". Stop processing.

[Response Ends]

**sp.23. Attach a copy of the instrument (e.g. survey, tool, questionnaire, scale) used as a data source for your measure, if available.**

[Response Begins]

[Response Ends]

**sp.24. Indicate the responder for your instrument.**

[Response Begins]

[Response Ends]

**sp.25. If measure is based on a sample, provide instructions for obtaining the sample and guidance on minimum sample size.**

[Response Begins]

For public reporting, the specific sampling approach is a random sample of 50 direct care staff registered nurses. With an anticipated response rate of 60%, the publicly reported measure would be based on 30 or more responses. The minimum of 30 is based on The Joint Commission's established minimum for comparative results to be calculated to represent the hospital. Satisfactory estimates of PES hospital scores have been obtained with fewer than 30 responses (Lake & Frieze, 2006). Nevertheless, a larger sample improves the precision of the results. While a random sample may be used at the hospital-level, it is recommended that hospitals survey all eligible nurses to allow all nurses the opportunity to complete the practice environment survey instrument.

[Response Ends]

**sp.26. Identify whether and how proxy responses are allowed.**

[Response Begins]

[Response Ends]

**sp.27. Survey/Patient-reported data.**

*Provide instructions for data collection and guidance on minimum response rate. Specify calculation of response rates to be reported with performance measure results.*

[Response Begins]

[Response Ends]

**sp.28. Select only the data sources for which the measure is specified.**

[Response Begins]

Instrument-Based Data

**[Response Ends]**

**sp.29. Identify the specific data source or data collection instrument.**

*For example, provide the name of the database, clinical registry, collection instrument, etc., and describe how data are collected.*

**[Response Begins]**

Practice Environment Scale-Nursing Work Index (PES-NWI) Survey

**[Response Ends]**

**sp.30. Provide the data collection instrument.**

**[Response Begins]**

**[Response Ends]**

**2ma.01. Indicate whether additional empirical reliability testing at the accountable entity level has been conducted. If yes, please provide results in the following section, Scientific Acceptability: Reliability - Testing. Include information on all testing conducted (prior testing as well as any new testing).**

*Please separate added or updated information from the most recent measure evaluation within each question response in the Scientific Acceptability sections. For example:*

**Current Submission:**

*Updated testing information here.*

**Previous Submission:**

*Testing from the previous submission here.*

**[Response Begins]**

**[Response Ends]**

**2ma.02. Indicate whether additional empirical validity testing at the accountable entity level has been conducted. If yes, please provide results in the following section, Scientific Acceptability: Validity - Testing. Include information on all testing conducted (prior testing as well as any new testing).**

*Please separate added or updated information from the most recent measure evaluation within each question response in the Scientific Acceptability sections. For example:*

**Current Submission:**

*Updated testing information here.*

**Previous Submission:**

*Testing from the previous submission here.*

**[Response Begins]**

**[Response Ends]**

**2ma.03. For outcome, patient-reported outcome, resource use, cost, and some process measures, risk adjustment/stratification may be conducted. Did you perform a risk adjustment or stratification analysis?**

**[Response Begins]**

**[Response Ends]**

**2ma.04. For maintenance measures in which risk adjustment/stratification has been performed, indicate whether additional risk adjustment testing has been conducted since the most recent maintenance evaluation. This may include updates to the risk adjustment analysis with additional clinical, demographic, and social risk factors.**

**Please update the Scientific Acceptability: Validity - Other Threats to Validity section.**

**Note: This section must be updated even if social risk factors are not included in the risk adjustment strategy.**

**[Response Begins]**

**[Response Ends]**

Measure testing must demonstrate adequate reliability and validity in order to be recommended for endorsement. Testing may be conducted for data elements and/or the computed measure score. Testing information and results should be entered in the appropriate fields in the Scientific Acceptability sections of the Measure Submission Form.

- Measures must be tested for all the data sources and levels of analyses that are specified. If there is more than one set of data specifications or more than one level of analysis, contact NQF staff about how to present all the testing information in one form.
- All required sections must be completed.
- For composites with outcome and resource use measures, Questions 2b.23-2b.37 (Risk Adjustment) also must be completed.
- If specified for multiple data sources/sets of specifications (e.g., claims and EHRs), Questions 2b.11-2b.13 also must be completed.
- An appendix for supplemental materials may be submitted (see Question 1 in the Additional section), but there is no guarantee it will be reviewed.
- Contact NQF staff with any questions. Check for resources at the [Submitting Standards webpage](#).
- For information on the most updated guidance on how to address social risk factors variables and testing in this form refer to the release notes for the [2021 Measure Evaluation Criteria and Guidance](#).

Note: The information provided in this form is intended to aid the Standing Committee and other stakeholders in understanding to what degree the testing results for this measure meet NQF's evaluation criteria for testing.

2a. Reliability testing demonstrates the measure data elements are repeatable, producing the same results a high proportion of the time when assessed in the same population in the same time period and/or that the measure score is precise. For instrument-based measures (including PRO-PMs) and composite performance measures, reliability should be demonstrated for the computed performance score.

2b1. Validity testing demonstrates that the measure data elements are correct and/or the measure score correctly reflects the quality of care provided, adequately identifying differences in quality. For instrument based measures (including PRO-PMs) and composite performance measures, validity should be demonstrated for the computed performance score.

2b2. Exclusions are supported by the clinical evidence and are of sufficient frequency to warrant inclusion in the specifications of the measure;

AND

If patient preference (e.g., informed decision-making) is a basis for exclusion, there must be evidence that the exclusion impacts performance on the measure; in such cases, the measure must be specified so that the information about patient preference and the effect on the measure is transparent (e.g., numerator category computed separately, denominator exclusion category computed separately).

2b3. For outcome measures and other measures when indicated (e.g., resource use):

- an evidence-based risk-adjustment strategy (e.g., risk models, risk stratification) is specified; is based on patient factors (including clinical and social risk factors) that influence the measured outcome and are present at start of care; 14,15 and has demonstrated adequate discrimination and calibration

OR

- rationale/data support no risk adjustment/ stratification.

2b4. Data analysis of computed measure scores demonstrates that methods for scoring and analysis of the specified measure allow for identification of statistically significant and practically/clinically meaningful 16 differences in performance;

OR

there is evidence of overall less-than-optimal performance.

2b5. If multiple data sources/methods are specified, there is demonstration they produce comparable results.

2b6. Analyses identify the extent and distribution of missing data (or nonresponse) and demonstrate that performance results are not biased due to systematic missing data (or differences between responders and non-responders) and how the specified handling of missing data minimizes bias.

2c. For composite performance measures, empirical analyses support the composite construction approach and demonstrate that:

2c1. the component measures fit the quality construct and add value to the overall composite while achieving the related objective of parsimony to the extent possible; and

2c2. the aggregation and weighting rules are consistent with the quality construct and rationale while achieving the related objective of simplicity to the extent possible.

(if not conducted or results not adequate, justification must be submitted and accepted)

## Definitions

Reliability testing applies to both the data elements and computed measure score. Examples of reliability testing for data elements include, but are not limited to: inter-rater/abstractor or intra-rater/abstractor studies; internal consistency for multi-item scales; test-retest for survey items. Reliability testing of the measure score addresses precision of measurement (e.g., signal-to-noise).

Validity testing applies to both the data elements and computed measure score. Validity testing of data elements typically analyzes agreement with another authoritative source of the same information. Examples of validity testing of the measure score include, but are not limited to: testing hypotheses that the measures scores indicate quality of care, e.g., measure scores are different for groups known to have differences in quality assessed by another valid quality measure or method; correlation of measure scores with another valid indicator of quality for the specific topic; or relationship to conceptually related measures (e.g., scores on process measures to scores on outcome measures). Face validity of the measure score as a quality indicator may be adequate if accomplished through a systematic and transparent process, by identified experts, and explicitly addresses whether performance scores resulting from the measure as specified can be used to distinguish good from poor quality. The degree of consensus and any areas of disagreement must be provided/discussed.

Examples of evidence that an exclusion distorts measure results include, but are not limited to: frequency of occurrence, variability of exclusions across providers, and sensitivity analyses with and without the exclusion.

Patient preference is not a clinical exception to eligibility and can be influenced by provider interventions.

Risk factors that influence outcomes should not be specified as exclusions.

With large enough sample sizes, small differences that are statistically significant may or may not be practically or clinically meaningful. The substantive question may be, for example, whether a statistically significant difference of one percentage point in the percentage of patients who received smoking cessation counseling (e.g., 74 percent v. 75 percent) is clinically meaningful; or whether a statistically significant difference of \$25 in cost for an episode of care (e.g., \$5,000 v. \$5,025) is practically meaningful. Measures with overall less-than-optimal performance may not demonstrate much variability across providers.

Please separate added or updated information from the most recent measure evaluation within each question response in the Importance to Scientific Acceptability sections. For example:

**2021 Submission:**

Updated testing information here.

**2018 Submission:**

Testing from the previous submission here.

**2a.01. Select only the data sources for which the measure is tested.**

[Response Begins]

[Response Ends]

**2a.02. If an existing dataset was used, identify the specific dataset.**

*The dataset used for testing must be consistent with the measure specifications for target population and healthcare entities being measured; e.g., Medicare Part A claims, Medicaid claims, other commercial insurance, nursing home MDS, home health OASIS, clinical registry).*

[Response Begins]

[Response Ends]

**2a.03. Provide the dates of the data used in testing.**

*Use the following format: "MM-DD-YYYY - MM-DD-YYYY"*

[Response Begins]

[Response Ends]

**2a.04. Select the levels of analysis for which the measure is tested.**

*Testing must be provided for all the levels specified and intended for measure implementation, e.g., individual clinician, hospital, health plan.*

*Please refrain from selecting the following answer option(s). We are in the process of phasing out these answer options and request that you instead select one of the other answer options as they apply to your measure.*

*Please do not select:*

- *Clinician: Clinician*
- *Population: Population*

**[Response Begins]**

**[Response Ends]**

**2a.05. List the measured entities included in the testing and analysis (by level of analysis and data source).**

*Identify the number and descriptive characteristics of measured entities included in the analysis (e.g., size, location, type); if a sample was used, describe how entities were selected for inclusion in the sample.*

**[Response Begins]**

**[Response Ends]**

**2a.06. Identify the number and descriptive characteristics of patients included in the analysis (e.g., age, sex, race, diagnosis), separated by level of analysis and data source; if a sample was used, describe how patients were selected for inclusion in the sample.**

*If there is a minimum case count used for testing, that minimum must be reflected in the specifications.*

**[Response Begins]**

**[Response Ends]**

**2a.07. If there are differences in the data or sample used for different aspects of testing (e.g., reliability, validity, exclusions, risk adjustment), identify how the data or sample are different for each aspect of testing.**

**[Response Begins]**

**[Response Ends]**

**2a.08. List the social risk factors that were available and analyzed.**

*For example, patient-reported data (e.g., income, education, language), proxy variables when social risk data are not collected from each patient (e.g. census tract), or patient community characteristics (e.g. percent vacant housing, crime rate) which do not have to be a proxy for patient-level data.*

**[Response Begins]**

**[Response Ends]**

Note: If accuracy/correctness (validity) of data elements was empirically tested, separate reliability testing of data elements is not required – in 2a.07 check patient or encounter-level data; in 2a.08 enter “see validity testing section of data elements”; and enter “N/A” for 2a.09 and 2a.10.

**2a.09. Select the level of reliability testing conducted.**

*Choose one or both levels.*



[Response Begins]

[Response Ends]

**2a.10. For each level of reliability testing checked above, describe the method of reliability testing and what it tests.**

*Describe the steps—do not just name a method; what type of error does it test; what statistical analysis was used.*

[Response Begins]

[Response Ends]

**2a.11. For each level of reliability testing checked above, what were the statistical results from reliability testing?**

*For example, provide the percent agreement and kappa for the critical data elements, or distribution of reliability statistics from a signal-to-noise analysis. For score-level reliability testing, when using a signal-to-noise analysis, more than just one overall statistic should be reported (i.e., to demonstrate variation in reliability across providers). If a particular method yields only one statistic, this should be explained. In addition, reporting of results stratified by sample size is preferred (pg. 18, [NQF Measure Evaluation Criteria](#)).*

[Response Begins]

[Response Ends]

**2a.12. Interpret the results, in terms of how they demonstrate reliability.**

*(In other words, what do the results mean and what are the norms for the test conducted?)*

[Response Begins]

[Response Ends]

**2b.01. Select the level of validity testing that was conducted.**

[Response Begins]

[Response Ends]

**2b.02. For each level of testing checked above, describe the method of validity testing and what it tests.**

*Describe the steps—do not just name a method; what was tested, e.g., accuracy of data elements compared to authoritative source, relationship to another measure as expected; what statistical analysis was used.*

[Response Begins]

[Response Ends]

**2b.03. Provide the statistical results from validity testing.**

*Examples may include correlations or t-test results.*

[Response Begins]

[Response Ends]

**2b.04. Provide your interpretation of the results in terms of demonstrating validity. (i.e., what do the results mean and what are the norms for the test conducted?)**

[Response Begins]

[Response Ends]

**2b.05. Describe the method for determining if statistically significant and clinically/practically meaningful differences in performance measure scores among the measured entities can be identified.**

*Describe the steps—do not just name a method; what statistical analysis was used? Do not just repeat the information provided in Importance to Measure and Report: Gap in Care/Disparities.*

[Response Begins]

[Response Ends]

**2b.06. Describe the statistical results from testing the ability to identify statistically significant and/or clinically/practically meaningful differences in performance measure scores across measured entities.**

*Examples may include number and percentage of entities with scores that were statistically significantly different from mean or some benchmark, different from expected; how was meaningful difference defined.*

[Response Begins]

[Response Ends]

**2b.07. Provide your interpretation of the results in terms of demonstrating the ability to identify statistically significant and/or clinically/practically meaningful differences in performance across measured entities.**

*In other words, what do the results mean in terms of statistical and meaningful differences?*

[Response Begins]

[Response Ends]

**2b.08. Describe the method of testing conducted to identify the extent and distribution of missing data (or non-response) and demonstrate that performance results are not biased due to systematic missing data (or differences between responders and non-responders). Include how the specified handling of missing data minimizes bias.**

*Describe the steps—do not just name a method; what statistical analysis was used.*

[Response Begins]

[Response Ends]

**2b.09. Provide the overall frequency of missing data, the distribution of missing data across providers, and the results from testing related to missing data.**

*For example, provide results of sensitivity analysis of the effect of various rules for missing data/non-response. If no empirical sensitivity analysis was conducted, identify the approaches for handling missing data that were considered and benefits and drawbacks of each).*

[Response Begins]

[Response Ends]

**2b.10. Provide your interpretation of the results, in terms of demonstrating that performance results are not biased due to systematic missing data (or differences between responders and non-responders), and how the specified handling of missing data minimizes bias.**

*In other words, what do the results mean in terms of supporting the selected approach for missing data and what are the norms for the test conducted; if no empirical analysis was conducted, justify the selected approach for missing data.*

[Response Begins]

[Response Ends]

Note: This item is directed to measures that are risk-adjusted (with or without social risk factors) OR to measures with more than one set of specifications/instructions (e.g., one set of specifications for how to identify and compute the measure from medical record abstraction and a different set of specifications for claims or eQMs). It does not apply to measures that use more than one source of data in one set of specifications/instructions (e.g., claims data to identify the denominator and medical record abstraction for the numerator). Comparability is not required when comparing performance scores with and without social risk factors in the risk adjustment model. However, if comparability is not demonstrated for measures with more than one set of specifications/instructions, the different specifications (e.g., for medical records vs. claims) should be submitted as separate measures.

**2b.11. Indicate whether there is more than one set of specifications for this measure.**

[Response Begins]

[Response Ends]

**2b.12. Describe the method of testing conducted to compare performance scores for the same entities across the different data sources/specifications.**

*Describe the steps—do not just name a method. Indicate what statistical analysis was used.*

[Response Begins]

[Response Ends]

**2b.13. Provide the statistical results from testing comparability of performance scores for the same entities when using different data sources/specifications.**

*Examples may include correlation, and/or rank order.*

[Response Begins]

[Response Ends]

**2b.14. Provide your interpretation of the results in terms of the differences in performance measure scores for the same entities across the different data sources/specifications.**

*In other words, what do the results mean and what are the norms for the test conducted.*

[Response Begins]

[Response Ends]

**2b.15. Indicate whether the measure uses exclusions.**

[Response Begins]

[Response Ends]

**2b.16. Describe the method of testing exclusions and what was tested.**

*Describe the steps—do not just name a method; what was tested, e.g., whether exclusions affect overall performance scores; what statistical analysis was used?*

[Response Begins]

[Response Ends]

**2b.17. Provide the statistical results from testing exclusions.**

*Include overall number and percentage of individuals excluded, frequency distribution of exclusions across measured entities, and impact on performance measure scores.*

[Response Begins]

[Response Ends]

**2b.18. Provide your interpretation of the results, in terms of demonstrating that exclusions are needed to prevent unfair distortion of performance results.**

*In other words, the value outweighs the burden of increased data collection and analysis. Note: If patient preference is an exclusion, the measure must be specified so that the effect on the performance score is transparent, e.g., scores with and without exclusion.*

[Response Begins]

[Response Ends]

**2b.19. Check all methods used to address risk factors.**

[Response Begins]

[Response Ends]

**2b.20. If using statistical risk models, provide detailed risk model specifications, including the risk model method, risk factors, risk factor data sources, coefficients, equations, codes with descriptors, and definitions.**

[Response Begins]

[Response Ends]

**2b.21. If an outcome or resource use measure is not risk-adjusted or stratified, provide rationale and analyses to demonstrate that controlling for differences in patient characteristics (i.e., case mix) is not needed to achieve fair comparisons across measured entities.**

[Response Begins]

[Response Ends]

**2b.22. Select all applicable resources and methods used to develop the conceptual model of how social risk impacts this outcome.**

[Response Begins]

[Response Ends]

**2b.23. Describe the conceptual and statistical methods and criteria used to test and select patient-level risk factors (e.g., clinical factors, social risk factors) used in the statistical risk model or for stratification by risk.**

*Please be sure to address the following: potential factors identified in the literature and/or expert panel; regression analysis; statistical significance of  $p < 0.10$  or other statistical tests; correlation of  $x$  or higher. Patient factors should be present at the start of care, if applicable. Also discuss any "ordering" of risk factor inclusion; note whether social risk factors are added after all clinical factors. Discuss any considerations regarding data sources (e.g., availability, specificity).*

[Response Begins]

[Response Ends]

**2b.24. Detail the statistical results of the analyses used to test and select risk factors for inclusion in or exclusion from the risk model/stratification.**

[Response Begins]

[Response Ends]

**2b.25. Describe the analyses and interpretation resulting in the decision to select or not select social risk factors.**

*Examples may include prevalence of the factor across measured entities, availability of the data source, empirical association with the outcome, contribution of unique variation in the outcome, or assessment of between-unit effects and within-unit effects. Also describe the impact of adjusting for risk (or making no adjustment) on providers at high or low extremes of risk.*

[Response Begins]

[Response Ends]

**2b.26. Describe the method of testing/analysis used to develop and validate the adequacy of the statistical model or stratification approach (describe the steps—do not just name a method; what statistical analysis was**

used). Provide the statistical results from testing the approach to control for differences in patient characteristics (i.e., case mix) below. If stratified ONLY, enter "N/A" for questions about the statistical risk model discrimination and calibration statistics.

*Validation testing should be conducted in a data set that is separate from the one used to develop the model.*

[Response Begins]

[Response Ends]

**2b.27. Provide risk model discrimination statistics.**

*For example, provide c-statistics or R-squared values.*

[Response Begins]

[Response Ends]

**2b.28. Provide the statistical risk model calibration statistics (e.g., Hosmer-Lemeshow statistic).**

[Response Begins]

[Response Ends]

**2b.29. Provide the risk decile plots or calibration curves used in calibrating the statistical risk model.**

*The preferred file format is .png, but most image formats are acceptable.*

[Response Begins]

[Response Ends]

**2b.30. Provide the results of the risk stratification analysis.**

[Response Begins]

[Response Ends]

**2b.31. Provide your interpretation of the results, in terms of demonstrating adequacy of controlling for differences in patient characteristics (i.e., case mix).**

*In other words, what do the results mean and what are the norms for the test conducted?*

[Response Begins]

[Response Ends]

**2b.32. Describe any additional testing conducted to justify the risk adjustment approach used in specifying the measure.**

*Not required but would provide additional support of adequacy of the risk model, e.g., testing of risk model in another data set; sensitivity analysis for missing data; other methods that were assessed.*

[Response Begins]

**[Response Ends]**

### 3. Feasibility

Extent to which the specifications including measure logic, require data that are readily available or could be captured without undue burden and can be implemented for performance measurement.

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**3.01. Check all methods below that are used to generate the data elements needed to compute the measure score.**

[Response Begins]

[Response Ends]

**3.02. Detail to what extent the specified data elements are available electronically in defined fields.**

*In other words, indicate whether data elements that are needed to compute the performance measure score are in defined, computer-readable fields.*

[Response Begins]

[Response Ends]

**3.03. If ALL the data elements needed to compute the performance measure score are not from electronic sources, specify a credible, near-term path to electronic capture, OR provide a rationale for using data elements not from electronic sources.**

[Response Begins]

[Response Ends]

**3.04. Describe any efforts to develop an eCQM.**

[Response Begins]

[Response Ends]

**3.06. Describe difficulties (as a result of testing and/or operational use of the measure) regarding data collection, availability of data, missing data, timing and frequency of data collection, sampling, patient confidentiality, time and cost of data collection, other feasibility/implementation issues.**

[Response Begins]

[Response Ends]

Consider implications for both individuals providing data (patients, service recipients, respondents) and those whose performance is being measured.

**3.07. Detail any fees, licensing, or other requirements to use any aspect of the measure as specified (e.g., value/code set, risk model, programming code, algorithm),**

**Attach the fee schedule here, if applicable.**

[Response Begins]

[Response Ends]





## 4. Usability and Use

Extent to which potential audiences (e.g., consumers, purchasers, providers, policy makers) are using or could use performance results for both accountability and performance improvement to achieve the goal of high-quality, efficient healthcare for individuals or populations.

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Extent to which intended audiences (e.g., consumers, purchasers, providers, policy makers) can understand the results of the measure and are likely to find them useful for decision making.

NQF-endorsed measures are expected to be used in at least one accountability application within 3 years and publicly reported within 6 years of initial endorsement, in addition to demonstrating performance improvement.

### 4a.01. Check all current uses. For each current use checked, please provide:

Name of program and sponsor

URL

Purpose

Geographic area and number and percentage of accountable entities and patients included

Level of measurement and setting

[Response Begins]

[Response Ends]

### 4a.02. Check all planned uses.

[Response Begins]

Public reporting

Payment Program

Professional Certification or Recognition Program

Quality Improvement with Benchmarking (external benchmarking to multiple organizations)

Quality Improvement (internal to the specific organization)

[Response Ends]

### 4a.03. If not currently publicly reported OR used in at least one other accountability application (e.g., payment program, certification, licensing), explain why the measure is not in use.

*For example, do policies or actions of the developer/steward or accountable entities restrict access to performance results or block implementation?*

[Response Begins]

[Response Ends]

### 4a.04. If not currently publicly reported OR used in at least one other accountability application, provide a credible plan for implementation within the expected timeframes: used in any accountability application within 3 years, and publicly reported within 6 years of initial endorsement.

*A credible plan includes the specific program, purpose, intended audience, and timeline for implementing the measure within the specified timeframes. A plan for accountability applications addresses mechanisms for data aggregation and reporting.*

[Response Begins]

[Response Ends]

**4a.05. Describe how performance results, data, and assistance with interpretation have been provided to those being measured or other users during development or implementation.**

*Detail how many and which types of measured entities and/or others were included. If only a sample of measured entities were included, describe the full population and how the sample was selected.*

[Response Begins]

[Response Ends]

**4a.06. Describe the process for providing measure results, including when/how often results were provided, what data were provided, what educational/explanatory efforts were made, etc.**

[Response Begins]

[Response Ends]

**4a.07. Summarize the feedback on measure performance and implementation from the measured entities and others. Describe how feedback was obtained.**

[Response Begins]

[Response Ends]

**4a.08. Summarize the feedback obtained from those being measured.**

[Response Begins]

[Response Ends]

**4a.09. Summarize the feedback obtained from other users.**

[Response Begins]

[Response Ends]

**4a.10. Describe how the feedback described has been considered when developing or revising the measure specifications or implementation, including whether the measure was modified and why or why not.**

[Response Begins]

[Response Ends]

**4b.01. You may refer to data provided in Importance to Measure and Report: Gap in Care/Disparities, but do not repeat here. Discuss any progress on improvement (trends in performance results, number and percentage of people receiving high-quality healthcare; Geographic area and number and percentage of accountable entities and patients included). If no improvement was demonstrated, provide an explanation. If not in use for**

**performance improvement at the time of initial endorsement, provide a credible rationale that describes how the performance results could be used to further the goal of high-quality, efficient healthcare for individuals or populations.**

**[Response Begins]**

**[Response Ends]**

**4b.02. Explain any unexpected findings (positive or negative) during implementation of this measure, including unintended impacts on patients.**

**[Response Begins]**

As noted by Warshawsky and Havens, 2011 it is important that scoring and reporting of the PES-NWI be done consistently. There was inconsistency in reporting of subscales and composites across the many studies. There has also been variation in the unit of analysis for reporting, specifically nurse, nursing unit and organizational levels.

**[Response Ends]**

**4b.03. Explain any unexpected benefits realized from implementation of this measure.**

**[Response Begins]**

**[Response Ends]**

## 5. Comparison to Related or Competing Measures

If a measure meets the above criteria and there are endorsed or new related measures (either the same measure focus or the same target population) or competing measures (both the same measure focus and the same target population), the measures are compared to address harmonization and/or selection of the best measure.

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If you are updating a maintenance measure submission for the first time in MIMS, please note that the previous related and competing data appearing in question 5.03 may need to be entered in to 5.01 and 5.02, if the measures are NQF endorsed. Please review and update questions 5.01, 5.02, and 5.03 accordingly.

### **5.01. Search and select all NQF-endorsed related measures (conceptually, either same measure focus or target population).**

*(Can search and select measures.)*

**[Response Begins]**

**[Response Ends]**

### **5.02. Search and select all NQF-endorsed competing measures (conceptually, the measures have both the same measure focus or target population).**

*(Can search and select measures.)*

**[Response Begins]**

**[Response Ends]**

### **5.03. If there are related or competing measures to this measure, but they are not NQF-endorsed, please indicate the measure title and steward.**

**[Response Begins]**

**[Response Ends]**

### **5.04. If this measure conceptually addresses EITHER the same measure focus OR the same target population as NQF-endorsed measure(s), indicate whether the measure specifications are harmonized to the extent possible.**

**[Response Begins]**

**[Response Ends]**

### **5.05. If the measure specifications are not completely harmonized, identify the differences, rationale, and impact on interpretability and data collection burden.**

**[Response Begins]**

**[Response Ends]**

### **5.06. Describe why this measure is superior to competing measures (e.g., a more valid or efficient way to measure quality). Alternatively, justify endorsing an additional measure.**

*Provide analyses when possible.*

**[Response Begins]**

**[Response Ends]**

## Appendix

Supplemental materials may be provided in an appendix.:

## Contact Information

Measure Steward (Intellectual Property Owner):

Measure Steward Point of Contact:

Measure Developer if different from Measure Steward:

Measure Developer Point(s) of Contact:

## Additional Information

1. Provide any supplemental materials, if needed, as an appendix. All supplemental materials (such as data collection instrument or methodology reports) should be collated one file with a table of contents or bookmarks. If material pertains to a specific criterion, that should be indicated.

[Response Begins]

[Response Ends]

2. List the workgroup/panel members' names and organizations.

*Describe the members' role in measure development.*

[Response Begins]

[Response Ends]

3. Indicate the year the measure was first released.

[Response Begins]

[Response Ends]

4. Indicate the month and year of the most recent revision.

[Response Begins]

[Response Ends]

5. Indicate the frequency of review, or an update schedule, for this measure.

[Response Begins]

Annual

[Response Ends]

6. Indicate the next scheduled update or review of this measure.

[Response Begins]

[Response Ends]

7. Provide a copyright statement, if applicable. Otherwise, indicate "N/A".

[Response Begins]

[Response Ends]

8. State any disclaimers, if applicable. Otherwise, indicate "N/A".

[Response Begins]

[Response Ends]

9. Provide any additional information or comments, if applicable. Otherwise, indicate "N/A".

**[Response Begins]**

**[Response Ends]**