

PRA Disclosure Statement

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LONG-TERM CARE HOSPITAL (LTCH) CONTINUITY ASSESSMENT RECORD & EVALUATION (CARE) DATA SET - Version 4.00 PATIENT ASSESSMENT FORM - ADMISSION

Section A	Administrative Information
A0050. Type of Record	
Enter Code 	1. Add new assessment/record 2. Modify existing record 3. Inactivate existing record
A0100. Facility Provider Numbers. Enter Code in boxes provided.	
	A. National Provider Identifier (NPI): B. CMS Certification Number (CCN): C. State Medicaid Provider Number:
A0200. Type of Provider	
Enter Code 	3. Long-Term Care Hospital
A0210. Assessment Reference Date	
	Observation end date: — Month — Day — Year
A0220. Admission Date	
	— Month — Day — Year
A0250. Reason for Assessment	
Enter Code 	01. Admission 10. Planned discharge 11. Unplanned discharge 12. Expired

Section A	Administrative Information
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Patient Demographic Information
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A0500. Legal Name of Patient

	A. First name: B. Middle initial: C. Last name: D. Suffix:
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A0600. Social Security and Medicare Numbers
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	A. Social Security Number: — — — — — B. Medicare number (or comparable railroad insurance number):
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A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient

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A0800. Gender

Enter Code 	1. Male 2. Female
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A0900. Birth Date

	— — — — — Month Day Year
--	--

A1000. Race/Ethnicity

↓	Check all that apply
☐	A. American Indian or Alaska Native
☐	B. Asian
☐	C. Black or African American
☐	D. Hispanic or Latino
☐	E. Native Hawaiian or Other Pacific Islander
☐	F. White

Section A	Administrative Information
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A1100. Language	
Enter Code	A. Does the patient need or want an interpreter to communicate with a doctor or health care staff? 0. No → <i>Skip to A1200, Marital Status</i> 1. Yes → <i>Specify in A1100B, Preferred language</i> 9. Unable to determine → <i>Skip to A1200, Marital Status</i> B. Preferred language:

A1200. Marital Status	
Enter Code	1. Never married 2. Married 3. Widowed 4. Separated 5. Divorced

A1400. Payer Information	
↓ Check all that apply	
☐	A. Medicare (traditional fee-for-service)
☐	B. Medicare (managed care/Part C/Medicare Advantage)
☐	C. Medicaid (traditional fee-for-service)
☐	D. Medicaid (managed care)
☐	E. Workers' compensation
☐	F. Title programs (e.g., Title III, V, or XX)
☐	G. Other government (e.g., TRICARE, VA, etc.)
☐	H. Private insurance/Medigap
☐	I. Private managed care
☐	J. Self-pay
☐	K. No payer source
☐	X. Unknown
☐	Y. Other

Pre-Admission Service Use	
A1802. Admitted From. Immediately preceding this admission, where was the patient?	
Enter Code	01. Community residential setting (e.g., private home/apt., board/care, assisted living, group home, adult foster care) 02. Long-term care facility 03. Skilled nursing facility (SNF) 04. Hospital emergency department 05. Short-stay acute hospital (IPPS) 06. Long-term care hospital (LTCH) 07. Inpatient rehabilitation facility or unit (IRF) 08. Psychiatric hospital or unit 09. Intellectually Disabled/Developmentally Disabled (ID/DD) facility 10. Hospice 99. None of the above

Section B	Hearing, Speech, and Vision
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B0100. Comatose

- | | |
|--|---|
| Enter Code
<div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | Persistent vegetative state/no discernible consciousness
0. No → Continue to BB0700, Expression of Ideas and Wants
1. Yes → Skip to GG0100, Prior Functioning: Everyday Activities |
|--|---|

BB0700. Expression of Ideas and Wants (3-day assessment period)
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- | | |
|--|--|
| Enter Code
<div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | Expression of ideas and wants (consider both verbal and non-verbal expression and excluding language barriers)
4. Expresses complex messages without difficulty and with speech that is clear and easy to understand
3. Exhibits some difficulty with expressing needs and ideas (e.g., some words or finishing thoughts) or speech is not clear
2. Frequently exhibits difficulty with expressing needs and ideas
1. Rarely/Never expresses self or speech is very difficult to understand |
|--|--|

BB0800. Understanding Verbal and Non-Verbal Content (3-day assessment period)
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- | | |
|--|---|
| Enter Code
<div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px 0;"></div> | Understanding Verbal and Non-Verbal Content (with hearing aid or device, if used, and excluding language barriers)
4. Understands: Clear comprehension without cues or repetitions
3. Usually Understands: Understands most conversations, but misses some part/intent of message. Requires cues at times to understand
2. Sometimes Understands: Understands only basic conversations or simple, direct phrases. Frequently requires cues to understand
1. Rarely/Never Understands |
|--|---|

Section C		Cognitive Patterns	
C1610. Signs and Symptoms of Delirium (from CAM©) Confusion Assessment Method (CAM©) Shortened Version Worksheet (3-day assessment period)			
CODING: 0. No 1. Yes	↓ Enter Code in Boxes		
		Acute Onset and Fluctuating Course A. Is there evidence of an acute change in mental status from the patient's baseline? B. Did the (abnormal) behavior fluctuate during the day, that is, tend to come and go or increase and decrease in severity?	
		Inattention C. Did the patient have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?	
		Disorganized Thinking D. Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?	
		Altered Level of Consciousness E. Overall, how would you rate the patient's level of consciousness? E1. Alert (Normal) E2. Vigilant (hyperalert) or Lethargic (drowsy, easily aroused) or Stupor (difficult to arouse) or Coma (unarousable)	

Adapted with permission from: Inouye SK et al, Clarifying confusion: The Confusion Assessment Method. A new method for detection of delirium. Annals of Internal Medicine. 1990; 113: 941-948. Confusion Assessment Method: Training Manual and Coding Guide, Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without permission.

Section GG	Functional Abilities and Goals
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GG0100. Prior Functioning: Everyday Activities. Indicate the patient's usual ability with everyday activities prior to the current illness, exacerbation, or injury.

Coding: 3. Independent - Patient completed the activities by him/herself, with or without an assistive device, with no assistance from a helper. 2. Needed Some Help - Patient needed partial assistance from another person to complete activities. 1. Dependent - A helper completed the activities for the patient. 8. Unknown 9. Not Applicable	↓ Enter Codes in Boxes B. Indoor Mobility (Ambulation): Code the patient's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury.
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GG0110. Prior Device Use. Indicate devices and aids used by the patient prior to the current illness, exacerbation, or injury.

↓	Check all that apply
☐	A. Manual wheelchair
☐	B. Motorized wheelchair and/or scooter
☐	C. Mechanical lift
☐	Z. None of the above

Section GG

Functional Abilities and Goals

GG0130. Self-Care (3-day assessment period)

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code discharge goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

1. Admission Performance	2. Discharge Goal	
↓ Enter Codes in Boxes ↓		
		A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the patient.
		B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.
		C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
		D. Wash upper body: The ability to wash, rinse, and dry the face, hands, chest, and arms while sitting in a chair or bed.

Section GG Functional Abilities and Goals

GG0170. Mobility (3-day assessment period)

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code discharge goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

1. Admission Performance	2. Discharge Goal	
↓ Enter Codes in Boxes ↓		
		A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
		B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
		C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.
		D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
		E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).
		F. Toilet transfer: The ability to get on and off a toilet or commode.
		I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. <i>If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170Q1, Does the patient use a wheelchair and/or scooter?</i>
		J. Walk 50 feet with two turns: Once standing, the ability to walk 50 feet and make two turns.

Section GG

Functional Abilities and Goals

GG0170. Mobility (3-day assessment period) - Continued

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code discharge goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

1. Admission Performance	2. Discharge Goal	
↓ Enter Codes in Boxes ↓		
		K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.
		☐ Q1. Does the patient use a wheelchair and/or scooter? 0. No → Skip to H0350, Bladder Continence 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns
		R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.
		☐ RR1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized
		S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.
		☐ SS1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized

Section H	Bladder and Bowel
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H0350. Bladder Continence (3-day assessment period)
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Enter Code 	Bladder continence - Select the one category that best describes the patient. 0. Always continent (no documented incontinence) 1. Stress incontinence only 2. Incontinent less than daily (e.g., once or twice during the 3-day assessment period) 3. Incontinent daily (at least once a day) 4. Always incontinent 5. No urine output (e.g., renal failure) 9. Not applicable (e.g., indwelling catheter)
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H0400. Bowel Continence (3-day assessment period)
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Enter Code 	Bowel continence - Select the one category that best describes the patient. 0. Always continent 1. Occasionally incontinent (one episode of bowel incontinence) 2. Frequently incontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement) 3. Always incontinent (no episodes of continent bowel movements) 9. Not rated, patient had an ostomy or did not have a bowel movement for the entire 3 days
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Section I Active Diagnoses

I0050. Indicate the patient's primary medical condition category.

Enter Code	Indicate the patient's primary medical condition category. 1. Acute Onset Respiratory Condition (e.g., aspiration and specified bacterial pneumonias) 2. Chronic Respiratory Condition (e.g., chronic obstructive pulmonary disease) 3. Acute Onset and Chronic Respiratory Conditions 4. Chronic Cardiac Condition (e.g., heart failure) 5. Other Medical Condition If "Other Medical Condition," enter the ICD code in the boxes. I0050A.
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Comorbidities and Co-existing Conditions

↓ Check all that apply

Cancers	
☐	I0103. Metastatic Cancer
☐	I0104. Severe Cancer
Heart/Circulation	
☐	I0605. Severe Left Systolic/Ventricular Dysfunction (known ejection fraction $\leq 30\%$)
☐	I0900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)
Genitourinary	
☐	I1501. Chronic Kidney Disease, Stage 5
☐	I1502. Acute Renal Failure
Infections	
☐	I2101. Septicemia, Sepsis, Systemic Inflammatory Response Syndrome/Shock
☐	I2600. Central Nervous System Infections, Opportunistic Infections, Bone/Joint/Muscle Infections/Necrosis
Metabolic	
☐	I2900. Diabetes Mellitus (DM)
Musculoskeletal	
☐	I4100. Major Lower Limb Amputation (e.g., above knee, below knee)
Neurological	
☐	I4501. Stroke
☐	I4801. Dementia
☐	I4900. Hemiplegia or Hemiparesis
☐	I5000. Paraplegia
☐	I5101. Complete Tetraplegia
☐	I5102. Incomplete Tetraplegia
☐	I5110. Other Spinal Cord Disorder/Injury (e.g., myelitis, cauda equina syndrome)
☐	I5200. Multiple Sclerosis (MS)
☐	I5250. Huntington's Disease
☐	I5300. Parkinson's Disease
☐	I5450. Amyotrophic Lateral Sclerosis
☐	I5455. Other Progressive Neuromuscular Disease
☐	I5460. Locked-In State
☐	I5470. Severe Anoxic Brain Damage, Cerebral Edema, or Compression of Brain
☐	I5480. Other Severe Neurological Injury, Disease, or Dysfunction

Section I		Active Diagnoses	
Nutritional			
☐	I5601. Malnutrition (protein or calorie)		
☐	I5602. At Risk for Malnutrition		
Post-Transplant			
☐	I7100. Lung Transplant		
☐	I7101. Heart Transplant		
☐	I7102. Liver Transplant		
☐	I7103. Kidney Transplant		
☐	I7104. Bone Marrow Transplant		
None of the Above			
☐	I7900. None of the above		

Section K		Swallowing/Nutritional Status	
K0200. Height and Weight - While measuring, if the number is X.1 - X.4 round down; X.5 or greater round up			
inches		A. Height (in inches). Record most recent height measure since admission.	
pounds		B. Weight (in pounds). Base weight on most recent measure in last 3 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off).	

Section M
Skin Conditions

Report based on highest stage of existing ulcers/injuries at their worst; do not "reverse" stage.

M0210. Unhealed Pressure Ulcers/Injuries

Enter Code	Does this patient have one or more unhealed pressure ulcers/injuries? 0. No → <i>Skip to N2001, Drug Regimen Review</i> 1. Yes → <i>Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage</i>
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M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

Enter Number	A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues 1. Number of Stage 1 pressure injuries
Enter Number	B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister 1. Number of Stage 2 pressure ulcers
Enter Number	C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling 1. Number of Stage 3 pressure ulcers
Enter Number	D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling 1. Number of Stage 4 pressure ulcers
Enter Number	E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device 1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device
Enter Number	F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar 1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar
Enter Number	G. Unstageable - Deep tissue injury 1. Number of unstageable pressure injuries presenting as deep tissue injury

Section N		Medications
N2001. Drug Regimen Review		
Enter Code 	Did a complete drug regimen review identify potential clinically significant medication issues? 0. No - No issues found during review → <i>Skip to O0100, Special Treatments, Procedures, and Programs</i> 1. Yes - Issues found during review → <i>Continue to N2003, Medication Follow-up</i> 9. NA - Patient is not taking any medications → <i>Skip to O0100, Special Treatments, Procedures, and Programs</i>	
N2003. Medication Follow-up		
Enter Code 	Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/ recommended actions in response to the identified potential clinically significant medication issues? 0. No 1. Yes	

Section O	Special Treatments, Procedures, and Programs
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00100. Special Treatments, Procedures, and Programs Check all the treatments at admission. For dialysis, check if it is part of the patient's treatment plan.

↓ Check all that apply

Respiratory Treatments
☐ G. Non-invasive Ventilator (BiPAP, CPAP)

Other Treatments
☐ H. IV Medications (if checked, please specify below)
☐ H2a. Vasoactive medications (i.e., continuous infusions of vasopressors or inotropes)
☐ J. Dialysis
☐ N. Total Parenteral Nutrition

None of the Above
☐ Z. None of the above

00150. Spontaneous Breathing Trial (SBT) (including Tracheostomy Collar or Continuous Positive Airway Pressure (CPAP) Breathing Trial) by Day 2 of the LTCH Stay
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Enter Code ☐	A. Invasive Mechanical Ventilation Support upon Admission to the LTCH 0. No, not on invasive mechanical ventilation support → <i>Skip to O0250, Influenza Vaccine</i> 1. Yes, weaning → <i>Continue to O0150B, Assessed for readiness for SBT by day 2 of the LTCH stay</i> 2. Yes, non-weaning → <i>Skip to O0250, Influenza Vaccine</i>
Enter Code ☐	B. Assessed for readiness for SBT by day 2 of the LTCH stay (Note: Day 2 = Date of Admission to the LTCH (Day 1) + 1 calendar day) 0. No → <i>Skip to O0250, Influenza Vaccine</i> 1. Yes → <i>Continue to O0150C, Deemed medically ready for SBT by day 2 of the LTCH stay</i>
Enter Code ☐	C. Deemed medically ready for SBT by day 2 of the LTCH stay 0. No → <i>Continue to O0150D, Is there documentation of reason(s) in the patient's medical record that the patient was deemed medically unready for SBT by day 2 of the LTCH stay?</i> 1. Yes → <i>Continue to O0150E, SBT performed by day 2 of the LTCH stay</i>
Enter Code ☐	D. Is there documentation of reason(s) in the patient's medical record that the patient was deemed medically unready for SBT by day 2 of the LTCH stay? 0. No → <i>Skip to O0250, Influenza Vaccine</i> 1. Yes → <i>Skip to O0250, Influenza Vaccine</i>
Enter Code ☐	E. SBT performed by day 2 of the LTCH stay 0. No 1. Yes

00250. Influenza Vaccine - Refer to current version of LTCH Quality Reporting Program Manual for current influenza season and reporting period.
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Enter Code ☐	A. Did the patient receive the influenza vaccine in this facility for this year's influenza vaccination season? 0. No → <i>Skip to O0250C, If influenza vaccine not received, state reason</i> 1. Yes → <i>Continue to O0250B, Date influenza vaccine received</i>
	B. Date influenza vaccine received → <i>Complete date and skip to Z0400, Signature of Persons Completing the Assessment</i> — — Month Day Year
Enter Code ☐	C. If influenza vaccine not received, state reason: 1. Patient not in this facility during this year's influenza vaccination season 2. Received outside of this facility 3. Not eligible - medical contraindication 4. Offered and declined 5. Not offered 6. Inability to obtain influenza vaccine due to a declared shortage 9. None of the above

Section Z		Assessment Administration	
Z0400. Signature of Persons Completing the Assessment			
I certify that the accompanying information accurately reflects patient assessment information for this patient and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that submitting false information may subject my organization to a 2% reduction in the Fiscal Year payment determination. I also certify that I am authorized to submit this information by this facility on its behalf.			
	Signature	Title	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			
Z0500. Signature of Person Verifying Assessment Completion			
A. Signature:		B. LTCH CARE Data Set Completion Date:	
		— — Month Day Year	

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LONG-TERM CARE HOSPITAL (LTCH) CONTINUITY ASSESSMENT RECORD & EVALUATION (CARE) DATA SET - Version 4.00 PATIENT ASSESSMENT FORM - PLANNED DISCHARGE

Section A	Administrative Information
A0050. Type of Record	
Enter Code 	1. Add new assessment/record 2. Modify existing record 3. Inactivate existing record
A0100. Facility Provider Numbers. Enter Code in boxes provided.	
	A. National Provider Identifier (NPI): B. CMS Certification Number (CCN): C. State Medicaid Provider Number:
A0200. Type of Provider	
Enter Code 	3. Long-Term Care Hospital
A0210. Assessment Reference Date	
	Observation end date: — — — Month Day Year
A0220. Admission Date	
	— — Month Day Year
A0250. Reason for Assessment	
Enter Code 	01. Admission 10. Planned discharge 11. Unplanned discharge 12. Expired
A0270. Discharge Date	
	— — Month Day Year

Patient	Identifier	Date
Section A Administrative Information		
Patient Demographic Information		
A0500. Legal Name of Patient		
	A. First name: B. Middle initial: C. Last name: D. Suffix:	
A0600. Social Security and Medicare Numbers		
	A. Social Security Number: B. Medicare number (or comparable railroad insurance number):	

Section A		Administrative Information	
A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient			
A0800. Gender			
Enter Code	1. Male 2. Female		
A0900. Birth Date			
	— — Month Day Year		
A1000. Race/Ethnicity			
↓ Check all that apply			
☐	A. American Indian or Alaska Native		
☐	B. Asian		
☐	C. Black or African American		
☐	D. Hispanic or Latino		
☐	E. Native Hawaiian or Other Pacific Islander		
☐	F. White		
A1400. Payer Information			
↓ Check all that apply			
☐	A. Medicare (traditional fee-for-service)		
☐	B. Medicare (managed care/Part C/Medicare Advantage)		
☐	C. Medicaid (traditional fee-for-service)		
☐	D. Medicaid (managed care)		
☐	E. Workers' compensation		
☐	F. Title programs (e.g., Title III, V, or XX)		
☐	G. Other government (e.g., TRICARE, VA, etc.)		
☐	H. Private insurance/Medigap		
☐	I. Private managed care		
☐	J. Self-pay		
☐	K. No payer source		
☐	X. Unknown		
☐	Y. Other		

Section A

Administrative Information

A2110. Discharge Location

Enter Code	01. Community residential setting (e.g., private home/apt., board/care, assisted living, group home, adult foster care)
	02. Long-term care facility
	03. Skilled nursing facility (SNF)
	04. Hospital emergency department
	05. Short-stay acute hospital (IPPS)
	06. Long-term care hospital (LTCH)
	07. Inpatient rehabilitation facility or unit (IRF)
	08. Psychiatric hospital or unit
	09. Intellectually Disabled/Developmentally Disabled (ID/DD) facility
	10. Hospice
	12. Discharged Against Medical Advice
	98. Other

Section B	Hearing, Speech, and Vision
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B0100. Comatose

Enter Code 	Persistent vegetative state/no discernible consciousness 0. No → <i>Continue to BB0700, Expression of Ideas and Wants</i> 1. Yes → <i>Skip to GG0130, Self-Care</i>
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BB0700. Expression of Ideas and Wants (3-day assessment period)

Enter Code 	Expression of ideas and wants (consider both verbal and non-verbal expression and excluding language barriers) 4. Expresses complex messages without difficulty and with speech that is clear and easy to understand 3. Exhibits some difficulty with expressing needs and ideas (e.g., some words or finishing thoughts) or speech is not clear 2. Frequently exhibits difficulty with expressing needs and ideas 1. Rarely/Never expresses self or speech is very difficult to understand
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BB0800. Understanding Verbal and Non-Verbal Content (3-day assessment period)

Enter Code 	Understanding Verbal and Non-Verbal Content (with hearing aid or device, if used, and excluding language barriers) 4. Understands: Clear comprehension without cues or repetitions 3. Usually Understands: Understands most conversations, but misses some part/intent of message. Requires cues at times to understand 2. Sometimes Understands: Understands only basic conversations or simple, direct phrases. Frequently requires cues to understand 1. Rarely/Never Understands
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Section C		Cognitive Patterns	
C1610. Signs and Symptoms of Delirium (from CAM©) Confusion Assessment Method (CAM©) Shortened Version Worksheet (3-day assessment period)			
CODING: 0. No 1. Yes	↓ Enter Code in Boxes		
		Acute Onset and Fluctuating Course A. Is there evidence of an acute change in mental status from the patient's baseline? B. Did the (abnormal) behavior fluctuate during the day, that is, tend to come and go or increase and decrease in severity?	
		Inattention C. Did the patient have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?	
		Disorganized Thinking D. Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?	
		Altered Level of Consciousness E. Overall, how would you rate the patient's level of consciousness? E1. Alert (Normal) E2. Vigilant (hyperalert) or Lethargic (drowsy, easily aroused) or Stupor (difficult to arouse) or Coma (unarousable)	

Adapted with permission from: Inouye SK et al, Clarifying confusion: The Confusion Assessment Method. A new method for detection of delirium. Annals of Internal Medicine. 1990; 113: 941-948. Confusion Assessment Method: Training Manual and Coding Guide, Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without permission.

Section GG		Functional Abilities and Goals	
GG0130. Self-Care (3-day assessment period)			
Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.			
Coding: Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided. <i>Activities may be completed with or without assistive devices.</i> 06. Independent - Patient completes the activity by him/herself with no assistance from a helper. 05. Setup or clean-up assistance - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity. 04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently. 03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort. 02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort. 01. Dependent - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity. If activity was not attempted, code reason: 07. Patient refused 09. Not applicable - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury. 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints) 88. Not attempted due to medical condition or safety concerns			
3. Discharge Performance			
↓ Enter Codes in Boxes			
		A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the patient.	
		B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.	
		C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.	
		D. Wash upper body: The ability to wash, rinse, and dry the face, hands, chest, and arms while sitting in a chair or bed.	

Section GG**Functional Abilities and Goals****GG0170. Mobility** (3-day assessment period)

Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3.
Discharge
Performance

↓ Enter Codes in Boxes

[]	A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
[]	B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
[]	C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.
[]	D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
[]	E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).
[]	F. Toilet transfer: The ability to get on and off a toilet or commode.
[]	I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. <i>If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170Q3, Does the patient use a wheelchair and/or scooter?</i>
[]	J. Walk 50 feet with two turns: Once standing, the ability to walk 50 feet and make two turns.

Section GG	Functional Abilities and Goals
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GG0170. Mobility (3-day assessment period) - Continued

Code the patient's usual performance at discharge for each activity using the 6-point scale. If an activity was not attempted at discharge, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

- 07. **Patient refused**
- 09. **Not applicable** - Not attempted and the patient did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3. Discharge Performance	
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↓ Enter Codes in Boxes

		K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.
	<input style="width: 20px; height: 20px;" type="checkbox"/>	Q3. Does the patient use a wheelchair and/or scooter? 0. No → Skip to H0350, Bladder Continence 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns
		R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.
	<input style="width: 20px; height: 20px;" type="checkbox"/>	RR3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized
		S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.
	<input style="width: 20px; height: 20px;" type="checkbox"/>	SS3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized

Section H

Bladder and Bowel

H0350. Bladder Continence (3-day assessment period)

Enter Code

Bladder continence - Select the one category that best describes the patient.

0. **Always continent** (no documented incontinence)
1. **Stress incontinence only**
2. **Incontinent less than daily** (e.g., once or twice during the 3-day assessment period)
3. **Incontinent daily** (at least once a day)
4. **Always incontinent**
5. **No urine output** (e.g., renal failure)
9. **Not applicable** (e.g., indwelling catheter)

Section J	Health Conditions
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J1800. Any Falls Since Admission

Enter Code 	Has the patient had any falls since admission? 0. No → <i>Skip to M0210, Unhealed Pressure Ulcers/Injuries</i> 1. Yes → <i>Continue to J1900, Number of Falls Since Admission</i>
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J1900. Number of Falls Since Admission

Coding: 0. None 1. One 2. Two or more	↓ Enter Codes in Boxes	
		A. No injury: No evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the patient; no change in the patient's behavior is noted after the fall
		B. Injury (except major): Skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the patient to complain of pain
		C. Major injury: Bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma

Section M

Skin Conditions

Report based on highest stage of existing ulcers/injuries at their worst; do not "reverse" stage.

M0210. Unhealed Pressure Ulcers/Injuries

Enter Code 	Does this patient have one or more unhealed pressure ulcers/injuries? 0. No → <i>Skip to N2005, Medication Intervention</i> 1. Yes → <i>Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage</i>
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M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

Enter Number 	A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues 1. Number of Stage 1 pressure injuries
Enter Number 	B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister 1. Number of Stage 2 pressure ulcers - If 0 → <i>Skip to M0300C, Stage 3</i>
Enter Number 	2. Number of <u>these</u> Stage 2 pressure ulcers that were present upon admission - enter how many were noted at the time of admission
Enter Number 	C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling 1. Number of Stage 3 pressure ulcers - If 0 → <i>Skip to M0300D, Stage 4</i>
Enter Number 	2. Number of <u>these</u> Stage 3 pressure ulcers that were present upon admission - enter how many were noted at the time of admission
Enter Number 	D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling 1. Number of Stage 4 pressure ulcers - If 0 → <i>Skip to M0300E, Unstageable - Non-removable dressing/device</i>
Enter Number 	2. Number of <u>these</u> Stage 4 pressure ulcers that were present upon admission - enter how many were noted at the time of admission
Enter Number 	E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device 1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device - If 0 → <i>Skip to M0300F, Unstageable - Slough and/or eschar</i>
Enter Number 	2. Number of <u>these</u> unstageable pressure ulcers/injuries that were present upon admission - enter how many were noted at the time of admission
Enter Number 	F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar 1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar If 0 → <i>Skip to M0300G, Unstageable - Deep tissue injury</i>
Enter Number 	2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission

M0300 continued on next page

Section M		Skin Conditions	
M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage - Continued			
Enter Number Enter Number		G. Unstageable - Deep tissue injury 1. Number of unstageable pressure injuries presenting as deep tissue injury - If 0 → Skip to N2005, Medication Intervention 2. Number of <u>these</u> unstageable pressure injuries that were present upon admission - enter how many were noted at the time of admission	

Section N	Medications
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N2005. Medication Intervention	
Enter Code 	Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission? 0. No 1. Yes 9. NA - There were no potential clinically significant medication issues identified since admission or patient is not taking any medications

Section O		Special Treatments, Procedures, and Programs	
O0200. Ventilator Liberation Rate			
Enter Code 	A. Invasive Mechanical Ventilator: Liberation Status at Discharge 0. Not fully liberated at discharge (i.e., patient required partial or full invasive mechanical ventilation support within 2 calendar days prior to discharge) 1. Fully liberated at discharge (i.e., patient did not require any invasive mechanical ventilation support for at least 2 consecutive calendar days immediately prior to discharge) 9. NA (code only if the patient was non-weaning or not ventilated on admission [O0150A=2 or 0 on Admission Assessment])		
O0250. Influenza Vaccine - Refer to current version of LTCH Quality Reporting Program Manual for current influenza season and reporting period.			
Enter Code 	A. Did the patient receive the influenza vaccine in this facility for this year's influenza vaccination season? 0. No → Skip to O0250C, If influenza vaccine not received, state reason 1. Yes → Continue to O0250B, Date influenza vaccine received		
	B. Date influenza vaccine received → Complete date and skip to Z0400, Signature of Persons Completing the Assessment — — Month Day Year		
Enter Code 	C. If influenza vaccine not received, state reason: 1. Patient not in this facility during this year's influenza vaccination season 2. Received outside of this facility 3. Not eligible - medical contraindication 4. Offered and declined 5. Not offered 6. Inability to obtain influenza vaccine due to a declared shortage 9. None of the above		

Section Z	Assessment Administration
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Z0400. Signature of Persons Completing the Assessment

I certify that the accompanying information accurately reflects patient assessment information for this patient and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that submitting false information may subject my organization to a 2% reduction in the Fiscal Year payment determination. I also certify that I am authorized to submit this information by this facility on its behalf.

Signature	Title	Sections	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			

Z0500. Signature of Person Verifying Assessment Completion

A. Signature:

B. LTCH CARE Data Set Completion Date:

— —
 Month Day Year